

U.S. PTO
JC474 10/064783
08/16/02



TRANSMITTAL FORM

Electronic Version 1.0.3

Stylesheet Version: 1.0

Attorney Docket
Number:

02012-
40137

Submission Type: Utility Patent
Filing

System And Method For Managing Concentration Of Corporate Debt

First Named Inventor: Mr. Lawrence Lederman

SUBMITTED BY

Name: Mr. Chris L. Holm

Registration Number: 39,227

Electronic Signature Mark: /chris l.
holm/ Date Signed: 20020816

I certify that the use of this system is for OFFICIAL correspondence between patent applicants or their representatives and the USPTO. Fraudulent or other use besides the filing of official correspondence by authorized parties is strictly prohibited, and subject to a fine and/or imprisonment under applicable law.

I, the undersigned, certify that I have viewed a display of document(s) being electronically submitted to the United States Patent and Trademark Office, using either the USPTO provided style sheet or software, and that this is the document(s) I intend for initiation or further prosecution of a patent application noted in the submission. This document(s) will become part of the official electronic record at the USPTO.

Attached Files:

declaration	40137_decl.TIF
declaration	40137_poa.TIF
bibd-transmittal	40137apds.xml
patent-assignments	40137asgn.xml

specification	40137.xml
fee-transmittal	40137fee.xml

Attached Image File(s):

40137_decl.TIF

40137_poa.TIF

Comments:

FEE TRANSMITTAL

Electronic Version 1.1.0

Stylesheet Version: 1.0

Patent fees are subject to annual revisions on or about October 1st of each year.

Large Entity

TOTAL FEES AUTHORIZED: \$ 1068

The commissioner is hereby authorized to charge indicated processing and/or publication fees and credit any overpayments to:

Deposit Account Number: 13-3250



Deposit Account Name: Milbank Tweed Hadley & McCloy LLP

Charge Any Additional Fee Required Under 37 C.F.R. Sections 1.16 and 1.17.

Charge Assignment Fees Required Under 37 C.F.R. Section 1.21 (h).

SUBMITTED BY

Authorized Name: Chris L. Holm

Electronic Signature Mark: /chris l. holm/

Date Signed: 20020816

BASIC FILING FEE

Fee Description	Fee Code	Fee Paid
Utility Filing Fee	101	\$ 740

Subtotal For Basic Filing Fee: \$ 740

EXTRA CLAIM FEES

	Fee Code	Fee	Extra Claims	Fee Paid
Total Claims: 22	103	\$ 18	2	\$ 36
Independent Claims: 6	102	\$ 84	3	\$ 252

Subtotal For Extra Claims Fees: \$ 288

ADDITIONAL FEES

Fee Description	Number	Quantity	Fee Code	Amount	Fee Paid
Recording Each Patent Assignment Per Property Fee	00000000	1	581	\$ 40	\$ 40

Subtotal For Additional Fees: \$ 40

Electronic Filing System (EFS) Data
Electronic Patent Application Submission
USPTO Use Only

EFS ID: 17157
Application ID: 10064783
Title of Invention: System And Method For
Managing Concentration Of
Corporate Debt
First Named Inventor: Lawrence Lederman
Domestic/Foreign Application: Domestic Application
Filing Date: null
Effective Receipt Date: 2002-08-16 
Submission Type: Utility Patent Filing
Filing Type: new-utility
Confirmation Number: 0
Attorney Docket Number: 02012-40137
Digital Certificate Holder: cn=Chris Lansing Holm, ou=Registered Attorneys, ou=Patent and
Trademark Office, ou=Department of Commerce, o=U.S.
Government, c=US
Certificate Message Digest: vXH/QObCJFVCgnw8Q200mg==
Total Fees Authorized: \$1068.0

Payment Category: DA - Deposit Account
Deposit Account Number: 133250
Deposit Account Name: Chris L. Holm